Implementing a systems-oriented morbidity and mortality conference in remote rural Nepal for quality improvement

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ABSTRACT

Problem: In hospitals in rural, resource-limited settings, there is an acute need for simple, practical strategies to improve healthcare quality.

Setting: A district hospital in remote western Nepal.

Key measures for improvement: To provide a mechanism for systems-level reflection so that staff can identify targets for quality improvement in healthcare delivery.

Strategies for change: To develop a morbidity and mortality conference (M&M) quality improvement initiative that aims to facilitate structured analysis of patient care and identify barriers to providing quality care, which can subsequently be improved.

Design: The authors designed an M&M involving clinical and non-clinical staff in conducting root-cause analyses of healthcare delivery at their hospital.

Weekly conferences focus on seven domains of causal analysis: operations, supply chain, equipment, personnel, outreach, societal, and structural. Each conference focuses on assessing the care provided, and identifying ways in which services can be improved.

Effects of change: Staff reception of the M&Ms was positive. In these M&Ms, staff identified problem areas in healthcare delivery and steps for improvement. Subsequently, changes were made in hospital workflow, supply procurement, and on-site training.

Lessons learnt: While widely practiced throughout the world, a refocusing of the M&M as a systems-level quality improvement intervention could be feasible in many resource-limited settings. Here we present a qualitative observational study of the implementation of a hospital-wide M&M as a quality improvement initiative at a district hospital in remote western Nepal.

THE PROBLEM

Simple, effective tools are needed to improve quality in resource-limited health facilities (unpublished data, Maru et al. 2011). The morbidity and mortality conference (M&M) is a well established practice globally.1–5 There is, however, limited experience with M&M as a hospital-wide quality improvement strategy in resource-limited settings. While clinical and process audits have been piloted for conditions such as maternal or perinatal disease,6–8 to our knowledge there are no published reports of hospital-wide M&Ms in resource-limited settings. Furthermore, there is limited experience in utilising M&Ms to encourage better understanding of systems-level healthcare issues, and to encourage transparent, non-punitive discussion among staff regarding areas for improvement. Some recent literature4,9–12 has highlighted the importance of these aspects of M&Ms, but they are not yet well implemented across resource-poor settings.

Given that M&Ms are common throughout the world, a refocusing of the M&M as a systems-level quality improvement intervention could be feasible in many resource-limited settings. Here we present a qualitative observational study of the implementation of a hospital-wide M&M as a quality improvement initiative at a district hospital in remote western Nepal.

METHODS

Setting

Achham is a remote district in western Nepal and is the third poorest district in one of the

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world’s poorest countries. It has a primarily agrarian (60%) population of 266 000. Median annual household income is US$141 with literacy rates of 52% for men and 14% for women. Chronic malnutrition rates for children under five are 63.5%, and maternal mortality rates are 231 per 100 000.

Bayalpata Hospital (BH) opened in 2009 and is one of only two hospitals in Achham. BH is run by the non-profit organisation Nyaya Health in partnership with the Nepali Ministry of Health and Population. In 2010, BH treated over 23 000 outpatients and inpatients. BH has 28 Nepali staff, 23 (82%) of whom are from Achham or neighbouring districts. Thirteen (46%) are women, including two of the five (40%) senior hospital management positions. Literacy rates are 92% for female staff and 93% for male staff, with 46% of female staff and 60% of male staff having post-secondary education. The clinical staff are overseen by the medical director (BG), who has received his medical bachelor’s degree and has 1 year of postgraduate work experience. The clinical team also includes one medical officer (a bachelor’s level physician), two senior nurses (with bachelor’s degree training), two mid-level practitioners (3 years training post-secondary school), and five midwives (18 months training post-secondary school). In addition to these full-time employees, there is one full-time Nepali Bayalpata Hospital volunteer director of community health (RS), and one full-time expatriate volunteer executive director (DS). Both volunteers played a significant role in developing and implementing the M&M.

Strategy for change

Prior to this programme, BH lacked a mechanism for systems-level reflection enabling staff to identify challenges and areas for quality improvement in healthcare delivery. The authors hypothesised that a hospital-wide M&M would be a feasible quality improvement initiative aimed to facilitate structured analysis and improvement of patient care. Successful change was defined as the implementation of this M&M with staff-wide involvement and tangible changes seen in healthcare delivery at BH.

M&M programme design

In M&Ms, BH staff review recent clinical cases using root cause analysis of M&M, identifying systems failures and opportunities for future improvement. In order to address the inter-relatedness of quality challenges across both clinical and non-clinical departments, all hospital personnel are encouraged to participate in M&Ms. To facilitate broad participation, M&Ms are conducted during protected time at weekly staff meetings. Given the small staff size and limited clinical expertise at BH, external volunteer physicians offer further clinical input via email. Approximately 15 clinicians participate, including Nepali physicians in Nepal and abroad, American physicians, and other colleagues of Nyaya Health.

The M&M process begins with the weekly selection of a complicated clinical case by BH’s medical director. Cases are selected with input from clinical staff, focusing on cases in which staff feel that the clinical management and/or the non-clinical aspects of management can be improved. Due to the volume of cases seen at BH, not all cases can be reviewed, but as a policy, all mortalities are reviewed. After selecting the case, a case summary, including questions requiring guidance, is circulated to external volunteer physicians who offer feedback regarding clinical management prior to the M&M. Preparation for each week’s M&M, including writing the case summary and communicating with external volunteer physicians, typically involves at least 2–3 h of work on behalf of the medical director. BH’s hospital administrator and executive director are frequently involved in this preparation as well.

M&Ms occur during weekly staff meetings, with a 30–60 min case discussion structured around seven domains of causal analysis relevant to the resource-limited setting in which BH operates. Staff discussion is supplemented by input received from external volunteer physicians. The seven discussion domains were derived from the classic Ishikawa method.

- Clinical operations—concerns with patient flow, intake, or processing in clinical departments, laboratory, radiology, or pharmaceutical operations.
- Supply chains—challenges in obtaining reliable supplies of quality medicines or equipment.
- Equipment—issues in the functioning, quality, or availability of equipment.
- Personnel—factors pertaining to training, professionalism, management, or collaboration.
- Outreach—issues in recruiting patients into timely and appropriate care through community engagement.
- Societal—challenges faced by gender, caste, economic, or other social status.
- Structural—factors related to infrastructure, such as roads, telecommunications, educational or healthcare facilities.

The medical director facilitates discussion and actively solicits contributions from all staff present. The M&M concludes with a review of lessons learnt and recommendations, and responsible personnel and timelines are identified for implementation of recommendations. Afterwards, a case summary is circulated to external volunteer physicians for review, and final transcripts are made publicly accessible.

After the M&M conference, the medical director, hospital administrator, and executive director oversee the implementation of proposed changes to clinical or

non-clinical operations. These duties vary by case, and due to their broad scope, can often take weeks or even months to fully implement. Other staff are often charged with these responsibilities, for example, the medical director oversees the midwives revising delivery protocols. All preparation and follow-up responsibilities are included in job descriptions; staff are not paid extra or provided separate time for these duties.

**Study methods**

We conducted a 9-month, qualitative observational study of the implementation of this M&M programme. We obtained ethical review for this study from both the Nepal Health Research Council and Boston Medical Center’s Institutional Review Board (USA).

M&M discussions, quoting directly from staff members in real time, were transcribed and translated into English by one of the authors (RS). Throughout the study, formal programme reviews were conducted at 3-month intervals. These reviews were facilitated by the medical director and included all staff members. During these reviews, we elicited staff responses concerning M&M participation, impact of M&Ms on individual and collective work practices, impact of M&Ms on hospital policies, value of input by external volunteer physicians, and barriers to implementation of M&Ms.

A grounded theory approach was used for analysis, in which two authors (DS, RS) reviewed transcripts and coded content. The coding revealed two primary categories: the effect of M&Ms on staff and the effect of M&Ms on hospital operations. Further analysis of both categories demonstrated six primary themes within staff effects, and three primary themes within hospital operations effects. These themed analyses are described qualitatively below.

**EFFECTS OF CHANGE**

**Scope of M&M cases**

Cases encompassed a wide breadth of clinical conditions. Summaries of cases, the problems identified, and the recommendations made are presented in table 1, and an example case summary is given in box 1.

**Participation and staff response**

Typically, 80–85% of on-duty personnel attended M&Ms. Overall, M&Ms were received positively by personnel, although the programme struggled with consistency and oversight. Staff members identified five key benefits to the M&M: here we present select staff comments, excerpted from programme reviews and individual M&M meeting notes:

- More rigorous case analysis and identification of areas for improvement: ‘It’s been 2 years since the hospital opened but until now we have never been able to analyse and think in depth about how the critical cases are managed’ (nurse); ‘It is not just a review of a particular case, but a review of our hospital: what the problems are, what is missing, and what can be done to improve’ (staff physician).

- Improved collaboration and team-based learning: ‘Cases are only managed by a few personnel and are not well understood by the rest of the staff, so these M&Ms help us to learn from the lessons of the other staff in those cases’ (laboratory assistant); ‘Staff learn from each other, and when we think in retrospect critically about the cases...we learn the value of team efforts in regards to our shortcomings and how we can improve next time’ (staff physician).

- Better understanding of clinical operations among non-clinical staff: ‘We non-clinical staff gain an improved background of what kind of cases we see at the hospital and how the clinical operations function...this also helps us to explain what services Bayalpata Hospital offers to various community members who ask us about their health problems’ (data manager); ‘These discussions have helped me to learn things that are directly relevant to my work here at Bayalpata Hospital...for example, the various drugs that we use and how to order the different doses, which previously I did not understand and led to mistakes in purchasing’ (accountant).

- Improved communication between junior and senior staff members: ‘Clinical and administrative managers learn about the logistical problems of junior staff members that they might not know about otherwise’ (medical director).

- External volunteer clinical consultations: ‘With these outside physician volunteers, I am able to gain much information that was previously unknown to me about case management, and it is helpful to feel support from senior physicians even though we have such small staffing here’ (staff physician).

**Challenges**

Despite these benefits, the programme has encountered challenges. Some non-clinical staff members have been frustrated by M&Ms: ‘...they are helpful in some ways, but I don’t think that all non-clinical staff should always have to attend because sometimes it’s not helpful’ (data manager). Additionally, staff have noted that ‘it is frustrating to keep discussing the same issues, but they are not yet resolved. We know some things take long times, but the management should be faster and more effective about addressing these problems’ (nurse). The latter concern has been particularly relevant for issues of long-term improvement, such as development of community health worker networks and improvement of water or electrical facilities.
<table>
<thead>
<tr>
<th>Age, sex</th>
<th>Primary diagnosis</th>
<th>Problems identified</th>
<th>Domains of Causal Analysis</th>
<th>Recommendations</th>
</tr>
</thead>
<tbody>
<tr>
<td>20 years, woman</td>
<td>Intrauterine fetal demise</td>
<td>Laboratory staffing is insufficient at times of high patient volume</td>
<td>Clinical operations, Personnel</td>
<td>Additional training for nurses and mid-level practitioners should be conducted by lab personnel to enable lab task shifting during times of high patient volume. Management should investigate options for off-site intensive training for a staff member.</td>
</tr>
<tr>
<td>6 years, boy, 12 years, boy</td>
<td>Botulism poisoning</td>
<td>There is a lack of trained ultrasonographers at the hospital and Clinicians are not in contact with private pharmacies and traditional healers in the villages</td>
<td>Clinical operations, Personnel, Equipment, Personnel</td>
<td>Management should develop a list of contact information and meetings should be set up at the hospital and in the community for relationship building.</td>
</tr>
<tr>
<td>28 years, man</td>
<td>Suicide attempt</td>
<td>There is a lack of mental health services in our catchment area</td>
<td>Clinical operations, Personnel, Structural</td>
<td>Management should develop emergency referral list and crisis line with psychiatrists in the capital. Management should procure at least two different medicines and identify long-term suppliers.</td>
</tr>
<tr>
<td>8 months, boy</td>
<td>Pneumonia</td>
<td>Oxygen tanks are unavailable locally, and pre-existing tanks cannot be refilled locally</td>
<td>Clinical operations, Supply chains</td>
<td>Management should procure tanks and oxygen from the capital for the ER, inpatient wards, and the ambulance. Senior nurse should identify a designated location for bag valve masks in the ER and inpatient wards, spaced in close proximity to all beds to avoid delays in administering care.</td>
</tr>
<tr>
<td>19 years, woman</td>
<td>Retained placenta</td>
<td>Some mid-level practitioners and nurses are unfamiliar with basic resuscitation protocols Limited pharmacy staffing leads to problems at times of high patient volume</td>
<td>Clinical operations, Personnel</td>
<td>On-site protocol review should be conducted by the senior physicians. The pharmacist should conduct task-shifting workshops for medicine dispensing and stocking for nurses and mid-level practitioners.</td>
</tr>
<tr>
<td>35 years, woman</td>
<td>Motor vehicle accident</td>
<td>ER ultrasounds are not being administered in a timely fashion</td>
<td>Clinical operations, Equipment, Personnel</td>
<td>ER ultrasound utilisation protocols should be developed by physicians to ensure that patients receive urgent scans when indicated.</td>
</tr>
<tr>
<td>87 years, man</td>
<td>COPD</td>
<td>Close observation of patients with COPD has been insufficient due to visibility from the nurses’ station The pharmacy lacks any inhalers.</td>
<td>Clinical operations, Personnel, Supply chains</td>
<td>Senior nurse should designate a specific bed in the ER for these patients with close observation and all necessary equipment in close proximity. Management and the pharmacist should procure multiple types of inhalers for both inpatient and outpatient management of respiratory disease.</td>
</tr>
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</table>

*Table 1 Selected cases from Bayalpata Hospital’s morbidity and mortality conference*
The programme has also had difficulty with oversight and staffing. During the course of the study period, despite the weekly programme design, M&Ms have only occurred in 19 of 35 weeks (54%). Of the missed weeks, eight occurred between December 2010 and January 2011, a period during which the executive director was off site and other staff shortages were present coincidentally. Other M&Ms that were missed were often a result of need to stop or postpone the meeting to handle emergency care during the staff meeting time.

Another challenge has been promoting active involvement of all staff. Despite efforts to create ‘open dialogue’, junior staff, who are often less well educated and/or from lower social castes, participate less than other staff. In M&M transcript reviews, an average of only 8% of the comments were made by such staff members, despite them accounting for 46% of the staff. The same has been true with regards to gender dynamics, with women contributing an average of only 31% of the comments, despite accounting for 46% of the staff.

**Hospital operations**

In addition to benefits and challenges discussed by staff, M&Ms have also led to changes in hospital operations. Some examples of these changes are given below.

### Workflow modifications

An M&M reviewing a complicated delivery highlighted the need for enhanced laboratory staffing during times of high patient volume (Domains of Causal Analysis: Clinical operations, Equipment, Personnel). This resulted in laboratory personnel conducting task-shifting workshops for all clinical staff to conduct emergency, point-of-care investigations, such as blood chemistries, complete blood counts, HIV, and pregnancy tests. These sessions occurred 3 weeks after the M&M, and since have enabled task shifting during periods of high patient flow, resulting in improved laboratory capacity.

### On-site clinical training

An M&M reviewing a complicated delivery found inconsistent and improper recording of partographs (Domains of Causal Analysis: Clinical operations, Equipment, Personnel). On review of the previous 4 weeks of deliveries, a baseline partograph completion rate of only 20% was noted. This led to a teaching session by physicians targeted at nurse midwives on the importance of partographs during the active stage of labour. Simultaneously, clinicians started a monitoring programme which involved evaluating the percentage of partographs completed per week and reviewing each completed partograph. In the subsequent 2 months,

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**Table 1** Continued

<table>
<thead>
<tr>
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<th>Recommendations</th>
</tr>
</thead>
<tbody>
<tr>
<td>60 years, man</td>
<td>Tuberculosis</td>
<td>Tuberculosis diagnostic criteria are not being appropriately followed</td>
<td>Clinical operations, Equipment, Personnel</td>
<td>Clinicians and lab personnel should henceforth utilise both radiological and sputum-based diagnostic testing</td>
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<td></td>
<td></td>
<td>Staff attend off-site training but do not share their new knowledge and skills with other staff</td>
<td>Clinical operations, Personnel</td>
<td>Management should institute post-training skill-sharing workshops for all staff members attending training</td>
</tr>
<tr>
<td>17 years, man</td>
<td>Head trauma</td>
<td>Patients in the ER wait for long periods of time even for simple items like intravenous fluids</td>
<td>Clinical operations, Equipment, Personnel</td>
<td>The medical director and senior nurse should institute standing orders for ER patients</td>
</tr>
<tr>
<td>36 years, woman</td>
<td>Antepartum haemorrhage</td>
<td>Partographs are being used inconsistently and improperly</td>
<td>Clinical operations, Equipment, Personnel</td>
<td>Physicians and senior nurse should conduct partograph training, along with beginning a programme to monitor weekly partograph completion rates and the review of all completed partographs</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Patient family members receive low-quality and inconsistent counselling in the ER</td>
<td>Clinical operations, Personnel, Societal</td>
<td>The medical director and management should identify a key person to provide counselling to ER patient family member</td>
</tr>
</tbody>
</table>

*Many of these M&Ms had several recommendations but for the purpose of this paper, we have simply provided a selection from each case.

COPD, chronic obstructive pulmonary disease; ER, emergency room.

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partograph completion rates increased to 76%, but not all forms were completed correctly. The programme remains in place, now focusing on correct utilisation of forms in addition to completion rates.

Pharmacy and medical equipment
An M&M reviewing a suicide attempt documented lack of antidepressant and antipsychotic medicines in the BH pharmacy (Domains of Causal Analysis: Clinical operations and Supply chains). After procuring several new medicines, that same patient was placed on outpatient fluoxetine therapy. Six months later, he remains in follow-up and has improved substantially.

DISCUSSION

Lessons learnt
Our experience suggests that a modified systems-oriented M&M programme such as the one described is a simple, feasible strategy for quality improvement in resource-limited settings. At our hospital in rural Nepal, despite challenges with implementation, our staff were able to identify problem areas and steps for improvement in healthcare delivery. In implementing this programme we have identified four key lessons.

Involve both clinical and non-clinical staff
The majority of M&Ms worldwide are conducted as conferences primarily for senior-level clinicians. 17 Our experience suggests the involvement of both clinical and non-clinical staff will help to identify broader systems-level issues to impact patient care.

Structure discussions with a systems-level perspective
M&Ms typically focus on medical aspects of cases. Here, we utilised a seven-domain root-cause analysis to better identify systems-level issues and areas for quality improvement. As discussed in other recent literature, 17 this approach helps remove blame from individuals and instead focus on systems, thereby creating a culture of learning more focused on quality improvement.

Foster senior managerial commitment
Managerial oversight is integral to the success of such a programme. Specifically, oversight is needed to ensure conferences occur consistently, time is allocated in work schedules to facilitate M&M attendance, all staff are encouraged to participate, and finally that recommendations are pursued after conferences.

Use M&Ms to guide resource utilisation
The M&M has helped BH staff better target limited resources to critical problem areas (eg, emergency room equipment, staff training). In many situations like ours, demands outstrip resources. M&Ms offer a mechanism
to prioritise competing demands using input from first-hand staff experience.

Limitations

Limitations to our study include implementation of a small, qualitative study at a single site with external volunteer support. While external support has added the benefit of expert medical input and education, several M&Ms were conducted successfully with no external volunteer input. In our reviews, we found that almost all recommendations resulting in changes to clinical or management protocols came from staff discussions, illustrating the value of the programme even in the absence of external support.

The study is also limited as two lead investigators—the executive director (DS) and medical director (BG)—are the two most senior members of staff, thereby introducing potential bias into the process of eliciting critical feedback from staff about the programme and its implementation.

Next steps

At BH, we endeavour to soon fund a full-time quality improvement staff member, part of whose responsibilities will include overseeing the consistent and effective implementation of M&Ms and follow through on all identified problems and recommendations. Additionally, we aim to provide on-site training for our mid-level clinical providers and midwives regarding general quality improvement principles, which will help to fully realise the potential of M&Ms and further develop broad quality improvement initiatives at BH.

Both at BH and elsewhere, future research should investigate quantifiable quality outcomes to assess the patient- and operations-level impact of such programmes. This could involve measuring the impact on a suite of plan-do-study-act-driven interventions, as has been done in other studies. Additionally, programmes should develop metrics to measure staff engagement in the process of quality improvement.

Conclusion

We have described an adapted systems-oriented M&M as a feasible quality improvement tool for resource-limited settings. The M&M programme at BH has helped identify and catalyse quality improvement initiatives for healthcare provided in our rural facility.

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Contributors

The authors are professionals who work in varying capacities with Nyaya Health, a non-profit group delivering healthcare services in rural Nepal that is innovating methods of open-access and transparency in the field of global health. The authors' contributions are as follows. DM conceived of the study and guided the initial pilot of the programme, and serves as the guarantor of the article. DS oversaw the programme locally, adapted the initial pilot into a formal programme, wrote the initial draft, and analysed the data. RS analysed the data and contributed to the drafting of the manuscript. BG, AB, RS and MG served as clinicians and managers on the programme and participated in revision of its aims and methods. BA, RR, JA, GK, KM, and DM provided feedback on the operation of the programme and revised the manuscript. All authors read and approved the final manuscript.

Provenance and peer review

Not commissioned; externally peer reviewed.

Data sharing statement

The authors commit to sharing all data from the included research. Data can be requested directly from the authors, or accessed directly on the open-source wiki of Nyaya Health (patient organization to Bayalpata Hospital, the site of research) at http://wiki.nyayahealth.org.

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